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PTO/SB/81 (02-01)

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Application Number	09/468,138
Filing Date	December 21, 1999
First Named Inventor	Stephen D. Peters, et al.
Title	METHOD AND APPARATUS PROVIDING HYPOTHESIS DRIVEN EMOTION MODELLING FOR USE IN SPEECH RECOGNITION
Group Art Unit	2654
Examiner Name	V.P. Harper
Attorney Docket Number	10693RMUS01S

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☐ Applicant/Inventor.
☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
**SIGNATURE of Applicant or Assignee of Record**

Name	Kevin L. Smith, Registration No. 38,620
Signature	
Date	24 Jan - 2003

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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PATENT &amp; TRADEMARK

DOCKET NO.: 10693RMUS01U  
CLIENT NO.: NORT10-00255  
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PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : STEPHEN PETERS, ET AL.

Serial No. : 09/468,138

Filed : December 21, 1999

For : METHOD AND APPARATUS PROVIDING HYPOTHESIS  
DRIVEN SPEECH MODELLING FOR USE IN SPEECH  
RECOGNITION

Group No. : 2654

Examiner : V.P. Harper

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## BOX NON-FEE AMENDMENT

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STATEMENT UNDER 37 C.F.R. 3.73(b)


Nortel Networks Limited, a Canadian corporation, states that it is:

The assignee of the entire right, title, and interest in the patent application identified above  
by virtue of:

An assignment from the inventor(s) of the patent application identified above. The  
assignment was recorded in the United States Patent and Trademark Office at Reel 010472, Frame  
0580, or for which a copy thereof is attached.

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Date: 24-Jan-2003

  
Kevin L. Smith, Reg. No. 38,620  
Senior IP Attorney